

Neal F. Newman

Law Professor
Texas A&M University School of Law
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May 2022

Degrees Earned:

Howard University School of law

J.D., May 1998
Cum Laude

Student Bar Association Treasurer
Howard University Gospel Choir

University of Michigan School of Business Administration

B.B.A in Accounting - December 1991

University of Michigan Varsity Track Captain 1990-91 Season;
All American, Track & Field, 800 meters;
Competed in the 1992 U.S. Olympic Trials

Professional Accreditations:

Certified Public Accountant; Michigan 1995
Bar Admission; Georgia 1998

Honors/Awards:

2016 Distinguished Achievement Award Recipient - Teaching

Received the Distinguished Achievement Award College Level - *“in recognition and appreciation of dedication, interest, enthusiasm, and attitude in accomplishing the assigned mission in teaching.”*

Professor of the Year

Voted Professor of the Year – Upper Level Division, 2009 - 2010 academic year.

Voted co-Professor of the Year – Upper Level Day Division, 2005 - 2006 academic year.

Specialization Areas:

Securities Law
Financial Accounting
Corporate Law
Transactional Law
Commercial Law

Teaching Profile:

Business Associations
Securities Regulation
Payment Systems
Financial Accounting
How the Deals Get Done: Drafting for the Transactional Lawyer
(A business practicum course that teaches transactional drafting and transactional lawyering skills)
Aggie Transactional BootCamp
(An intensive one-week transactional client simulation where the students represent 3 entrepreneurs in forming a limited liability company along with drafting the accompanying formation documents)

Publications:

The ESG Debate Emerges from the Soil of Climate Denial – Co-Authored with Lawrence Trautman – Professor of Business Law and Ethics – Prairie View A&M University
Forthcoming – University of Memphis Law Review (2022)

A Proposed SEC Cyber Data Disclosure Advisory Commission – Co-Authored with Professor Lawrence Trautman
Forthcoming – Securities Regulation Law Journal (2022)

Special Purpose Acquisition Companies and the SEC - Co-Authored with Professor Lawrence Trautman
Forthcoming - University of Pennsylvania Business Law Journal (2022)

Securities Law: Overview and Contemporary Issues – Co-Authored with Professor Lawrence Trautman
The Ohio State University Business Law Journal, 16 Ohio St. Bus. L.J. 149 (2022)

Let's Get Serious – The Clear Case for Compensating the Student Athlete – By the Numbers – A University of Michigan Athletic Program Case Study
The New Mexico Law Review, 51. N.M. L. Rev. 37 – (Winter, 2021)

Regulation A+ - New and Improved After the J.O.B.S. Act or a Failed Revival?

The Virginia Law and Business Review, Volume 12 Winter 2018 Number 2 – (noted scholar Professor Donald C. Langevoort in his capacity as Editor of the *Securities Law Review* selected this article from articles published in 2018 as one of ten to be republished in the 2019 *Securities Law Review* edition.

Regulation A's Futility Before and After the J.O.B.S. Act

The Columbia Law School's Blog on Corporations and the Capital Markets (April 27, 2016)

Let Sleeping Regs. Lie: A Diatribe on Regulation A's Futility Before and After the J.O.B.S. Act – **University of Pennsylvania Business Law Journal 18 U. Pa. J. Bus. L. 65-118 (2015).**

One Worldwide Set of Global Accounting Standards? – hmmm

Hastings Business Law Journal Winter 2014 10 Hastings Bus. L. J. 37

The U.S. Move to International Accounting Standards, A Matter of Cultural Discord – How do we Reconcile?

The University of Memphis Law Review Spring 2009 39 U. Mem. L. Rev. 835

The "Carrot" Approach to Accounting Standard Setting

The University of Miami Business Law Review Spring 2008 16 U. Miami Bus. L. Rev. 227

Enron and the Special Purpose Entity – Use or Abuse? The Real Problem - The Real Focus

Southern Methodist University's Law and Business Review of the Americas Winter 2007 13 L. & Bus. Rev. Am. 97

Webinars and Conferences:

The Future of the NCAA – NIL's Cascading Shadow of the NCAA

Presentation given in connection with the SEC's conversation series on Name, Image, & Likeness and the Future of Intercollegiate Athletics – February 17, 2022.

Lone Star Resilient Investment Forum – ESG and Investing in an Era of Unprecedented Change

Two-day conference on ESG investing. Part of planning committee and sat as panelist on the "Regulatory Environment" panel – April 7 & 8, 2021.

Minority and Women Owned Business Enterprises and the Joint Venture – Leveraging for More Opportunities and Bigger Engagements

Served as Moderator for three member panel consisting of business experts and academics – Panel focus was to educate small business owners on how to use the Joint Venture structure to procure larger engagements and contracts – June 23, 2020.

Private Equity Firms and the Pandemic: Key Players, Key concerns and Tough Decisions

Webinar: Moderated three-member panelist discussion on Private Equity issues related to the COVID- 19 pandemic – June 16, 2020.

Conference for Associate Deans

Served as Moderator for panel discussion on Diversity, Equity, and Inclusion – June 1, 2020.

Houston Business Forum – COVID-19 Series – Business Planning & Considerations for Reopening Houston with a Focus on Paycheck Protection Program Loans

Part of three-member panel that presented to Houston Chamber of Commerce Members on business issues related to reopening during the COVID-19 Pandemic – May 5, 2020.

Tarrant County Bar Association – Brown Bag CLE – Quick Hits for Navigating COVID-19

Along with Professor William Byrnes, gave presentation to Tarrant County Bar Members on Paycheck Protection Loans under the C.A.R.E.S. Act. – May 1, 2020.

Small Business Incentives Under the CARES Act: Will it Help My Business?

First webinar of several part series – Gave Presentation on Paycheck Protection Program Loans to local business owners and practitioners – April 7, 2020.

Presentations and Lectures:

IN RE INITIAL COIN OFFERINGS – THE SEC NEEDS TO GET THIS RIGHT

Presented at the National Business Law Scholars Conference – June 20, 2019 at The University of California Berkeley School of Law

REGULATION A+- NEW AND IMPROVED AFTER THE J.O.B.S. ACT OR A FAILED REVIVAL?

Presented at the National Business Law Scholars Conference – June 23, 2016 at The University of Chicago School of Law, Chicago, IL.

REGULATION A+- NEW AND IMPROVED AFTER THE J.O.B.S. ACT OR A FAILED REVIVAL?

Presented at Texas A&M School of Law Faculty Colloquium – April 20, 2016.

A CAPITAL FORMATION OVERHAUL

Presented at the National Business Law Scholars Conference – June 4-5, 2015 at Seton Hall University School of Law, Newark, NJ.

THE J.O.B.S. ACT & THE NEW TEXAS INTRASTATE CROWDFUNDING PROVISION

CLE presented at the Tarrant County Bar's Corporate Counsel Section – November 5, 2014

REGULATION A+ AND THE QUESTION OF STATE Blue Sky Law PREEMPTION UNDER THE J.O.B.S ACT

Presented at the National Business Law Scholars Conference – June 20, 2014 at Loyola Law School, Los Angeles.

REGULATION A+ AND THE QUESTION OF STATE Blue Sky Law PREEMPTION UNDER THE J.O.B.S ACT

Presented to the Texas A&M Faculty - April 23, 2014.

What You and Your Clients Need to Know about the J.O.B.S. Act; a presentation to Texas Wesleyan University law graduates and local Fort Worth professionals. The presentation gave an overview of the J.O.B.S Act, which was enacted in April of 2012.

Presented at the Faculty All-Star Continuing Legal Education Conference - February 22, 2013.

Educating the Digital Lawyer

A presentation to Texas Wesleyan University law graduates and local Fort Worth professionals. The presentation covered the issues surrounding the prospect of starting and running a virtual (on-line) law practice.

Presented at the Faculty All-Star Continuing Legal Education conference - March 9, 2012.

One Worldwide Set of Global Accounting Standards? - Hmmm

Presented at the Mid-Atlantic People of Color Scholarship Conference in Washington D.C. January 27, 2012.

One Worldwide Set of Global Accounting Standards? - Hmmm

Presented at the Midwest Corporate Law Scholars Conference at the Ohio State University Moritz School of Law - June 15, 2011.

One Worldwide Set of Global Accounting Standards? - Hmmm

Presented to the Texas Wesleyan University School of Law Faculty - September 16, 2009.

The U.S. Move to International Accounting Standards - A Matter of Cultural Discord

Presenter – University of Memphis Financial Symposium: *Rethinking the U.S. Regulatory Structure Governing Financial Institutions: 21st Century Solutions for a 21st Century Marketplace* - February 20, 2009. (Invited)

The U.S. Move to International Accounting Standards - A Matter of Cultural Discord

Presented to the Texas University School of Law Faculty Colloquium - September 17, 2008.

The U.S. Move to International Accounting Standards - A Matter of Cultural Discord

Presenter - Northeast People of Color Legal Scholarship Conference, Boston University School of Law – Boston, MA - September 11-14, 2008.

The “Carrot” Approach to Accounting Standard Setting

Presenter - Texas Wesleyan University Faculty Colloquium - September 18, 2007.

The Holy Grail of Financial Reporting and Why we May Never get There

Presenter - Junior Legal Scholars Conference –Texas Wesleyan University School of Law - August 10, 2007.

Teaching Socioeconomics in Law School

Presenter/Panelist – Southeastern Association of Law Schools Annual Conference – August 1, 2007.

The Holy Grail of Financial Reporting and Why we May Never get There

Presenter – Southeast/Southwest People of Color Legal Scholarship Conference - March 2007.

Enron and the Special Purpose Entity – Use or Abuse? The Real Problem – The Real Focus

Presenter - Southeast/ Southwest People of Color Legal Scholarship Conference - April 7, 2006.

Professional Experience:

Texas A&M University School of Law, Fort Worth, TX **9/13 – Present**
Law Professor. Courses: Business Associations, Payment Law, Securities Regulation, and a business practicum course that prepares law students for transactional law practice (mergers, acquisitions, corporate formation, venture capital financing, etc.).

Texas Wesleyan University School of Law, Fort Worth, TX **6/04 – 9/13**
Law Professor. Courses: Business Associations, Payment Law, Securities Regulation, and a business practicum course that prepares law students for transactional law practice (mergers, acquisitions, corporate formation, venture capital financing, etc.).

Florida State University College of Law, Tallahassee, FL **8/03-5/04**
Visiting Law Professor. Was engaged for a one-year visiting professorship; courses: Business Associations, fall of 2003 with an emphasis on closely and publicly held corporations; Securities Regulation and Financial Accounting, spring of 2004.

Alston & Bird, LLP, Atlanta GA **9/98-8/03**
Associate – Financial Services Practice Group. Clients included public and privately held corporations, banks, and other financial institutions. I represented clients in various transactional matters such as mergers, acquisitions, capital raising, and federal compliance matters such as quarterly and annual public company filings and proxy statements.

Securities and Exchange Commission, Washington, DC **5/96-7/96**
Honors Program Summer Intern, Enforcement Division. Used accounting and audit knowledge to aid enforcement attorneys in reviewing audit work papers in connection with financial fraud investigations.

Robert Half International, Troy, MI **10/94-7/95**
Staff Accountant. Robert Half International places accountants with corporations who need accounting help for limited short-term engagements. Helped small financially troubled organizations prioritize expenditures; designed internal control procedures to aid better cash flow tracking and cash flow management; assisted small and midsized businesses in account reconciliations.

George Johnson & Company, Detroit, MI **6/93-10/94**

Audit and Tax Associate. Performed audits of several profit and not-for-profit organizations; supervised all phases of audit from planning to financial statement preparation and issuance of audit reports; prepared federal, state, and city tax returns.

Coopers & Lybrand, LLP, Detroit, MI **9/92-6/93**
Audit Associate. Engaged on audits for both large and small publicly held corporations.

Law School and Community Service:

Member Faculty Governance Committee – Spring 2020 – Present

Chair Diversity Council – Fall 2018 - Present

Member Faculty Recruitment Committee – Spring 2016 – Spring 2017

Chair - Admissions Committee – Fall 2016 – Spring 2017

Member – NACD Advisory Board Fort Worth Chapter - Fall 2016 – Spring 2020 The National Association of Corporate Directors is a nationwide organization whose mission is to elevate board performance by providing board members with practical insights through world-class education, leading-edge research, and an ever-growing network of directors. As a member of its Fort Worth Advisory Board, I collaborate with other advisory board members on programming and presentation ideas. I am also called upon on occasion to serve in various capacities at their luncheon presentations. I have served in various capacities from presentation panelist to Master of Ceremonies

Coach – BLSA Moot Court – Served as coach for one of the two BLSA Moot Court teams that recently competed in the Frederick Douglass Moot Court competition held down in Houston Texas. One of the two-team members had the second highest oral advocacy scores through the first three preliminary rounds – **January 15-17, 2015**

Chair, Curriculum Committee – Appointed Fall 2014 – Spring 2015

Member Faculty Governance Committee – Fall 2009 – Spring 2016

Diversity Committee – Fall 2013 – Fall 2014

Advisor – Business Law Organization – Fall 2011 – Present

Faculty Recruitment Committee – Fall 2010 – Spring 2014

Black Law Students Association – Co-Advisor – Fall 2004 - Present

Game Announcer – Martin High School Warriors Varsity Football Team – High school

football game announcer for the Martin High School Warriors – Arlington, Texas. **Fall 2013 - Present**

Advisory Board Member - Tarrant County Community College – Trinity River Campus – The Board, consisting of local professionals in all fields, serves in an advisory capacity to the Tarrant County Community College Faculty, administration, and students. We provide input on issues regarding curriculum, career advice to the students, and supplemental programs such as mentoring and externship opportunities. The Advisory Board holds meetings quarterly and holds other special meetings periodically throughout the school year and during the summer. **Fall 2010 – Present.**

J.B. Little Elementary WATCH D.O.G.S (Dads of Great Students) Coordinator – A “get dads involved program” at the elementary school where my two sons attend. Throughout the school year, dads volunteer to spend a day at the school as a WATCH D.O.G., where they help in the classrooms, on the playground, in gym class, at recess, etc. I coordinated getting the program started and getting dads scheduled to attend the school throughout the school year. **Fall 2009 – Fall 2014**

Served on Chief Financial Officer Search Committee – Spring 2012. The undergraduate university recently hired a new Chief Financial Officer. I was part of the search committee that vetted and interviewed candidates for the position.